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860 Canal Street Stamford, CT 06902 (US) Inventor: FORTE, Mark, R. 11 Oak Lane Pine Brook, NJ 07058 (US)

73 Proprietor: JOINT MEDICAL PRODUCTS COR-PORATION (a Delaware corporation)

Inventor: NOILES, Douglas, G. 114 Elm Place

New Canaan, CT 06840 (US)

<sup>(4)</sup> Representative: Senior, Alan Murray et al J.A. KEMP & CO., 14 South Square, Gray's Inn London WC1R 5LX (GB)

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#### Description

### BACKGROUND OF THE INVENTION

#### 1. Field of the Invention

This invention relates to improved prosthetic joints and in particular to improved prosthetic knee joints. The features of the preamble of Claim 1 are known from US-A-4 298 992.

#### 2. Description of the Prior Art

Flexion and extension of the normal human knee involves complex movements of three bones: the femur, the tibia, and the patella. During flexion, the distal end of the femur and the proximal end of the tibia rotate and glide relative to one another, with the center of rotation of the joint moving posteriorly over the condyles of the femur; during extension, the tibia and femur follow the reverse path, with the center of rotation now moving anteriorly as the joint is extended. Simultaneous with these movements of the femur and tibia, the patella moves over the surface of the femoral condyles, while remaining at a relatively constant distance from the tubercle of the tibia through the attachment of the patella to the tibia by the patellar ligament.

Numerous prostheses have been proposed as replacements for the natural knee joint. See, for example, Noiles, U.S. Patents Nos. 3,996,624. 4,219,893, and 4,301,553, Averill, U.S. Patents Nos. 3,728,742 and 4,217,666, Insall, U.S. Patent No. 4,213,209, Tavernetti, U.S. Pat. No. 3,813,700, German Patent Publications Nos. 2,227,090 and 2,501,128, and French Patent Publications Nos. 2,269,324 and 2,478,462. For total knee replacements, the condyles of the femur and the head of the tibia are surgically removed and replaced with prosthesis components. A patellar prosthesis, e.g., a spherically-domed or conical plastic button, is normally attached to the posterior surface of the patella to serve as an interface between the patella bone and the femoral prosthesis.

Efforts have been made to produce prosthetic joints which function in a manner similar to the natural knee. Specifically, a number of mechanisms have been proposed for producing posterior movement of the femoral component relative to the tibial component (femoral roll-back on the tibia) as the joint is flexed. For example, Walker et al., U.S. Pat. No. 4,209,861, discloses a prosthetic knee joint wherein guiding surfaces on the femoral and tibial components are used to induce posterior movement on the tibial component of the contact area between the components as the knee is progressively flexed. The posterior movement takes place

through a major portion of the flexion of the joint Burstein et al., U.S. Pat. No. 4,298,992, shows an alternate construction in which the femoral component moves posteriorly relative to the tibial component at or near full flexion. See also Deane, U.S. Pat. No. 3,840,905.

These prior art constructions suffer the common disadvantage that the femoral and tibial bearing surfaces are only in contact over small areas. Moreover, the contact areas become even smaller when the joint is flexed. During flexion, e.g., during such activities as squatting, stair climbing, or rising from a chair, high loads are applied to the joint and must be carried by the contact area between the bearing surfaces. Small contact areas plus high loads lead to high rates of wear of the bearing surfaces, which is clearly undesirable. U.S. Patent No. 4,634,444 to Douglas G. Noiles discloses a knee joint having bearing surfaces of large areas. However, the femoral component of this joint does not move posteriorly relative to the tibial component during flexion, as occurs in the natural knee.

Efforts have also been made to improve the functioning of patellar prostheses. See, for example Pappas et al., U.S. Pat. No. 4,470,158, Buechel et al., U.S. Pat. No. 4,309,778, and Buechel et al., U.S. Pat. No 4,340,978. In particular, the anterior surfaces of femoral components have been provided with concave recesses for receiving patellar prostheses when the joint is at or near its fully extended position. See, for example, Forte et al., U.S. Pat. No. 4,353,135, and Walker, U.S. Pat. No. 4,209,861. Similarly, the distal surfaces of femoral components have included tracks for receiving the patellar prosthesis when the joint is flexed. Significantly, the surfaces which engage the patellar prosthesis on these prior art tracks have been convexly shaped. Indeed, discontinuities in the slope of the prosthesis' outer surface have existed at the intersection between the concave recess of the anterior surface and the convex track of the distal surface.

Prostheses employing convex tracks have suffered a number of disadvantages. When used with the typical spherically-domed or conical patellar prosthesis, the track and the patellar prosthesis have only made point contact. As discussed above, prosthetic knee joints are subject to high loads when flexed, i.e., when the patellar prosthesis is in contact with the distal track. This combination of high loads and point contact has resulted in high wear rates for the patellar prosthesis. Indeed, for patellar prostheses consisting of a plastic bearing mounted on a metal backing plate, complete wear through of the bearing so as to cause the metal plate and the metal femoral component to grind against one another in situ, has been observed.

In addition to the point contact problem, the discontinuity in the outer surface of the femoral

prosthesis at the intersection between the concave recess and the convex track has also contributed to wearing of the patellar prosthesis and has degraded the overall smooth operation of the prosthesis.

The Forte et al. patent referred to above discloses a construction for a patellar prosthesis which can achieve line contact with a convex track. This construction, however, employs a complex patellar button geometry which must be precisely aligned with the femoral prosthesis during the surgical procedure for the system to operate properly. Also, in revision surgery, the existing patellar prosthesis is normally not replaced. Most existing patellar prostheses are of the conical or spherically-domed button type. The Forte et al construction, like the rest of the prior art constructions, only provides point contact when used with such spherically-domed or conical patellar prostheses.

#### Summary of the Invention

In view of the foregoing state of the art, it is an object of the present invention to provide improved prosthetic joints and in particular improved prosthetic knee joints.

More particularly, a specific object of the invention is to provide a prosthetic joint composed of two components, e.g. a femoral component and a tibial component, wherein the first component translates relative to the second component as the joint moves from its extended to its flexed position and wherein the area of contact between the bearing surfaces of the first and second components is large and, in particular, is large when the joint is flexed. Another object of the invention in its preferred form, is to provide a femoral prosthesis whose distal surface is configured to provide line contact, as opposed to point contact, with a patellar prosthesis, including line contact with the spherically-domed and conical button-type prostheses which are typically encountered in revision surgery.

US-A-4 634 444 discloses a prosthetic joint which provides flexion movement between two bones between their flexed and their extended positions. In order to allow for a more natural motion of the two bones during flexure of the joint, the present invention, as defined in claim 1, has additional curved bearing areas contiguous with the first main bearing areas so as to form a continuation thereof with a smaller curvature (larger radius) than the first bearing surfaces but such as to provide line bearing rolling contact during initial flexure of the joint followed by sliding area bearing contact during continued flexure when the loading forces to be supported by the joint are greater, control of the rolling movement being assisted during the minor portion of the joint's motion by interaction between cam means on the first and second components.

Thus, the invention provides an artificial joint which has an extended position, an intermediate position and a flexed position. The motion of the joint includes a minor segment and a major segment, the minor segment comprising rolling movement between the extended and the intermediate positions and the major segment comprising sliding movement between the intermediate and the flexed positions.

The joint comprises two components e.g. for a knee prostheses, the femoral component and the tibial component. Each component includes a bearing surface and a cam member. Each bearing surface includes a first portion and a second portion, the first portions being in engagement with one another during the minor segment of the joint's motion, and the second portions being in engagement with one another during the major segment of the joint's motion. In certain preferred embodiments, the second portions comprise large area, stepped bearing surfaces of the type disclosed in U.S. Pat. No. 4,634,444, referred to above, the pertinent portions of which are incorporated herein by reference.

During the major segment of the joint's motion, the motion of the joint comprises rotation about an axis of flexion. The rotation takes place through sliding of the bearing surfaces of the second portions relative to one another. The cam members on the first and second components interact with one another during the minor segment of the joint's motion. In particular, the cam members permit the first portions of the bearing surfaces to roll relative to one another as the joint moves between its extended and intermediate positions.

In a preferred construction of the joint, the area of contact between the second portions of the bearing surfaces is greater than the area of contact between the first portions. This construction maximizes the operational bearing contact area of the joint since, as discussed above, the second bearing surfaces are in contact during the major segment of the joint's motion. Moreover, for knee joints, this construction provides a large area of contact during flexion of the joint, i.e., during times when the joint is subject to high loading forces.

In accordance with other aspects of the invention, a femoral prosthesis is provided whose outer surface has an anterior portion and a distal portion. The anterior portion includes a recess for engagement with a patellar prosthesis, and the distal portion includes a track for the same purpose. The track is composed of two rails which intersect the recess. The surface of each of the rails has a cross-sectional contour in a direction transverse to the longitudinal axis of the rail which is 1) either a straight line or a concave curve, 2) constant along

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the length of the rail, and 3) matches the contour of the surface of the recess at the intersection between the rail and the recess.

By means of this structure, the patellar prosthesis moves smoothly over both the anterior and distal surfaces of the femoral prosthesis, including the transition between those surfaces. Moreover, the patellar prosthesis makes line contact with the distal surface of the femoral prosthesis. In particular, when the contour of the rails is a portion of a straight line, line contact with conical patellar buttons is achieved, and when the contour of the rails is a portion of a concave circle, line contact with spherically-domed patellar buttons is achieved.

The accompanying drawings, which are incorporated in and constitute part of the specification, illustrate the preferred embodiments of the invention, and together with the description, serve to explain the principles of the invention. It is to be understood, of course, that both the drawings and the description are explanatory only and are not restrictive of the invention. In particular, it is to be understood that although, for ease of discussion, the description which appears below is in terms of an artificial knee joint, various aspects of the invention are equally applicable to other types of artificial joints, such as, artificial elbow joints and the like.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 is a perspective, exploded view of a semi-constrained artificial knee joint constructed in accordance with the present invention.

Figure 2 is a side view of the tibial plateau component of the joint of Figure 1.

Figure 3 is a view of the anterior surface of the femoral component of the joint of Figure 1 showing the engagement of the patellar component of the joint of Figure 1 with the anterior surface.

Figure 4 is a view of the anterior surface of the femoral component of the joint of Figure 1 showing the engagement of the patellar component with the distal surface of the femoral component.

Figure 5 is a view of the distal surface of the femoral component of the joint of Figure 1 shoving the engagement of the patellar component with the anterior surface.

Figure 6 is a cross-sectional view along lines 6-6 in Figure 4.

Figures 7-10 are side views of the joint of Figure 1 at flexion angles of 0°, 16°, 45°, and 120°, respectively.

Figure 11 is a side view of the joint of Figure 1 at a hyperextended angle of -6\*.

Figures 12 and 13 compare the engagement between the patellar and femoral components achieved with the present invention (Figure 13) with that achieved with prior art prostheses (Figure 12).

Figure 14 is a perspective, exploded view of a constrained artificial knee joint employing a patella tracking system as may be used with the knee joint of the present invention.

Figure 15 is a cross-sectional view of the joint of Figure 14 along the midline of the prosthesis.

Figure 16 is a side view of the femoral and patellar components of the joint of Figure 14.

Figure 17 is a view of the distal surface of the femoral component of the joint of Figure 14 showing the engagement of the patellar component with the distal surface.

Figure 18 is a perspective view of a patellar prosthesis having a saddle-shaped surface.

Figures 19 and 20 are sectional views in sagittal planes comparing the engagement of the fernoral component of the joint of Figure 14 with a spherically-domed patellar button (Figure 20) and with the patellar prosthesis of Figure 18 (Figure 19).

### DESCRIPTION OF THE PREFERRED EMBODI-MENTS

Referring now to the figures, there is shown in Figure 1 an exploded view of a semi-constrained artificial knee joint constructed in accordance with the present invention. The joint includes a femoral component 10 and a tibial component 13 comprising tibial plateau component 12 and tibial sleeve component 14. As discussed in detail below, the joint is designed to smoothly interact with patellar prosthesis 80.

Femoral component 10 and tibial plateau component 12 respectively carry mating convex bearing surface 20 and concave bearing surface 34 (see Figure 1). As shown in Figure 6, femoral convex bearing surface 20 is composed of part 20a described by radius R<sub>1</sub>, part 20b described by radius R<sub>2</sub>, and part 20c described by radius R<sub>3</sub>. Femoral convex surface 20 also includes part 20d described by radius R<sub>4</sub>. Radius R<sub>4</sub> has the same center as radius R<sub>3</sub>, and therefore surface 20d is concentric with surface 20c. Part 20b is also referred to herein as the first portion of convex bearing surface 20; the combination of parts 20c and 20d are also referred to herein as the second portion of convex bearing surface 20.

As shown in Figure 2, tibial concave bearing surface 34 is composed of part 34a described by radius  $R_1$ , part 34b which may be flat or concave with a radius greater than radius  $R_2$  of femoral component 10, and part 34c described by radius  $R_3$ . Tibial concave surface 34 also includes part 34d described by radius  $R_4$ . Radius  $R_4$  has the same center as radius  $R_3$ , and therefore surface 34d is concentric with surface 34c. Part 34b is also

referred to herein as the first portion of concave bearing surface 34; the combination of parts 34c and 34d are also referred to herein as the second portion of concave bearing surface 34.

As shown in Figures 1 and 5, each of parts 20a, 20b, and 20c, and parts 34a, 34b, and 34c are composed of two spaced-apart sections. The spaced-apart sections of parts 20c and 34c, in combination with parts 20d and 34d, respectively, form stepped bearings of the type disclosed in U.S. Patent No. 4,634,444, referred to above. As shown in the figures, these stepped bearings extend across the full width of the prosthesis so as to provide a large, wear-resistant bearing surface for flexion motions of the joint. Preferably, parts 20c and 20d, i.e., the second portion of convex bearing surface 20, and parts 34c and 34d, i.e., the second portion of concave bearing surface 34, are surfaces of revolution, i.e., cylindrical in shape, although other bearing contours can be used in the practice of the invention.

So that the bearing surfaces can come apart in a direction orthogonal to their axis of rotation, the second portion of concave bearing surface 34 encompasses less than one-half of the second portion of convex bearing surface 20. In particular, as shown in Figure 9, the second portions engage each other over an angle A, which for the embodiment shown is approximately 15°.

The spaced-apart sections of part 34c are connected to part 34d by walls 44. Similarly, the spaced-apart section of part 20c are connected to part 20d by walls 28. The presence of these walls stabilizes the assembled joint against dislocations along the axis of rotation of the second portions of bearings 20 and 34. Specifically, the engagement of the walls limits the lateral motion of surfaces 20 and 34 with respect to one another. Significantly, this stabilization is achieved without sacrificing the overall width of the bearing surfaces, as would occur with other modes of lateral stabilization known in the art, such as, through the use of a post or the like between two laterally separated bearing surfaces.

As shown in the figures, the outer sections of parts 20c and 34c have equal radii of curvature, and those radii of curvature are larger than the radius of curvature of parts 20d and 34d. It is to be understood that the bearing surfaces can have radii of curvature other than those shown, provided that the radii are such that their differences produce walls 28 and 44 of sufficient height to restrain the joint against lateral dislocations.

As can best be seen in Figure 2, in addition to bearing surfaces 34, tibial plateau component 12 also includes cam means 41. Similarly, as can be seen in Figure 6, femoral component 10 includes cam means 43. Cam means 41 is located between

the spaced-apart sections of part 34b and comprises an extension of part 34d. Cam means 43 is located between the spaced-apart sections of part 20b and comprises an extension of part 20d. Cam means 41 is connected to part 34b by extensions of walls 44. Similarly, cam means 43 is connected to part 20b by extensions of walls 28. These extensions can also engage against one another to help restrain the joint at flexion angles against lateral dislocations.

The operation of cam means 41 and 43 is illustrated in Figures 7-10, where Figure 7 shows the joint in its extended position, Figure 8 shows the joint in its intermediate position, and Figures 9 and 10 show the joint at flexion angles of 45° and 120°, respectively.

As shown in these figures, parts 20b and 34b, i.e., the first portions of surfaces 20 and 34, are in engagement in the joint's extended position (Figure 7) and roll relative to one another as the joint moves from its extended position to its intermediate position (Figure 8). The theoretical contact between parts 20b and 34b during this rolling is line contact. Cam means 41 and 43 interact during this portion of the joint's motion to allow and control the rolling between the bearing surfaces. The natural forces in the knee tend to keep the cam means in contact through the minor segment of the joint's motion.

Parts 20c and 34c, as well as parts 20d and 34d, come into engagement at the intermediate position and remain in engagement throughout the remainder of the flexion of the joint (Figures 9-10). The motion of the joint when these second portions of surfaces 20 and 34 are in engagement consists of simple rotation of the joint about the axis defined by the common center of radii R<sub>3</sub> and R<sub>4</sub>. The second portions slide on one another during this rotation.

The transition from the engagement of the first portions, which, as discussed above, is theoretically just line contact, to the engagement of the second portions results in an increase in the contact area between the bearing surfaces. Specifically, the contact area increases because of the congruent meshing of part 20c with part 34c and part 20d with part 34d. Flexing beyond the intermediate position is accomplished with substantial bearing areas in contact to resist the high femur to tibia loads created by weight bearing at greater flexion angles. For the joint of Figure 1, this congruent bearing area is on the order of 6.5 cm² (1.0 square inch).

To maximize the contact area between the bearing surfaces through the major segment of the motion of the joint, the transition between the first and second portions of the bearing surfaces is performed early in the flexion of the joint. In Fig-

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ures 7-10, the transition takes place at a flexion angle of about 16° from the extended position of the joint. The motion of the joint thus consists of a minor segment from 0° to about 16°, and a major segment from about 16° to the flexed position of the joint, e.g., 100° to 120°, with the major segment being about 5 times greater than the minor segment.

The transition point between the first and second portions of the bearing surfaces can of course be set at flexion angles either greater than or less than 16°. In general, the transition point should occur at a flexion angle of less than about 30° in order to obtain the full benefits of the enhanced bearing surface contact area provided by the engagement of the second portions.

In addition to moving between its extended and flexed positions, the joint of Figure 1 can also be hyperextended. The amount of hyperextension permitted is determined by the engagement of surface 72 on femoral component 10 (see Figure 6) with surface 70 on tibial plateau 12 (see Figure 2). Radius  $R_1$  of femoral surface 20a also comes into contact with radius  $R_1$  of tibial surface 34a which further inhibits hyperextension. Figure 11 shows the joint in its fully hyperextended condition. For the joint shown, the hyperextension is limited to -6°. Greater or lesser amounts of hyperextension can be permitted as desired.

In addition to carrying convex bearing surface 20 and cam means 43, femoral component 10 also includes fixation shank 16 which is adapted to be implanted in the patient's femur using standard surgical techniques. Similarly, in addition to concave bearing surface 34 and cam means 41, tibial plateau component 12 also includes depending shaft 54 and thrust bearing surface 52. As shown in Figure 2, depending shaft 54 can optionally include metal reinforcing rod 74.

In the assembled joint, bearing surface 52 on the bottom of tibial plateau component 12 mates with bearing surface 58, i.e., the top surface of tibial sleeve 14, and depending shaft 54 is received in aperture 56 formed in the body of the tibial sleeve. As fully described in U.S. Patents 4,219,893 and 4,301,553, referred to above, the pertinent portions of which are incorporated herein by reference, this arrangement of these components allows tibial sleeve 14 to rotate with respect to tibial plateau component 12 as the femur and tibia move from a position of full extension to a position of flexion. This rotation of the tibia about its longitudinal axis during flexion is normally in the range of 10-15°.

Tibial sleeve component 14 is designed to be implanted in the upper portion of the tibia. Various approaches can be employed for this implantation. One such approach is that described in PCT Patent

Publication No. WO85/03426, entitled "Apparatus for Affixing a Prosthesis to Bone," which is assigned to the same assignee as this application. Briefly, this technique involves providing tibial sleeve 14 with an outer surface 60 which has been contoured to mate with a portion of the inner surface of the hard bone at the upper end of the tibia. In addition to being anatomically contoured, the surface is also provided with a geometry 62 designed to transform wedging shear loading to compression loading in the tibial bone. A further discussion of the technique can be found in the above-referenced patent publication, the pertinent portions of which are incorporated herein by reference.

In addition to engaging tibial component 13, femoral component 10 also engages patellar prosthesis 80. For this purpose, the anterior surface of the femoral component includes concave recess 82 and the distal surface includes track 84 which intersects the recess. Track 84 is composed of rails 88, each of which has a cross-sectional contour in a direction transverse to the longitudinal axis of the rail which is 1) either a straight line or a concave curve, 2) constant along the length of the rail, and 3) matches the contour of the surface of recess 82 at the intersection between the rail and the recess.

The advantage of constructing rails 88 in accordance with the invention is illustrated in Figures 12 and 13. Figure 12 shows the construction used in the prior art wherein the portion of the distal surface of femoral prosthesis 92 which engaged patellar prosthesis 80 is convexly shaped. As shown in this figure, the two prostheses only make point contact at points 90. Such point contact leads to high wear rates for the patellar prosthesis. Also, in such prior art prostheses, distinct slope changes exist at the intersection between the convex surface and the concave recess formed in the prosthesis' anterior surface for receiving the patellar prosthesis.

In contrast to Figure 12, as shown in Figure 13, when rails 88 are given the configuration of the present invention, line contact along curves 94 is achieved between the patellar and femoral prostheses. As shown in Figure 4, to achieve this line contact for a spherically-domed patellar button, curves 94 are portions of a circle having the same radius of curvature R<sub>5</sub> as the domed surface of the patellar prosthesis. For typical prosthesis dimensions, each of curves 94 can have a length, which corresponds to the width of the rail, on the order of 5-6 millimeters.

The line contact between the patellar prosthesis and the distal surface of the femoral prosthesis results in substantially reduced wear rates in comparison to those achieved with point contact. Also, as can be seen in, for example, Figure 1, the

concave contour of rails 88 results in a completely smooth transition between the rails and concave recess 82.

In the case of a conical patellar button, rails 88 are portions of a straight line instead of being concave. In such a case, recess 82 would preferably be V-shaped, i.e., composed of two inwardly sloping planes, so as to produce a smooth transition between the rails and the recess.

A patellar prosthesis 96 for use with the present invention which achieves even greater contact with the distal surface of the femoral prosthesis is shown in Figure 18. The anterior surface of this prosthesis includes peg 98 for attaching the prosthesis to the posterior surface of the patient's patella. The posterior surface of the prosthesis, which engages the femoral prosthesis in the assembled joint, has a saddle shape

As shown in Figure 18, the saddle has a radius of curvature Rs in the medial-lateral direction. This curvature matches the medial-lateral radius of curvature of rails 88 (see Figures 4 and 13), and thus contact like that achieved for a spherically-domed patellar prosthesis is achieved in this direction. Along lines 100 and 102, the saddle has radii of curvature of R2 and R2, respectively. As can be seen in Figure 6, R2 is the radius of curvature of the outer edge of rails 88, while R2 is the radius of curvature of the inner edge of the rail. Accordingly, patellar prosthesis 96 will make surface contact throughout areas 104 with rails 88 of the femoral prosthesis when the patellar button is in contact with the R2 section of track 84, which condition exists during the high contact forces created by flexion and weight bearing.

This enhanced contact is illustrated in the sectional views of Figures 19 and 20. As shown in Figure 20, through the use of concave rails 88, a spherically-domed button is able to achieve line contact along line 138. However, as shown in Figure 19, by using concave rails and a button having the saddle contour of Figure 18, contact is achieved throughout area 140.

Figures 14-20 illustrate a constrained ("hinged") artificial knee joint employing concave rails 88 for engaging either spherically-domed patellar prosthesis 80 or saddle-shaped patellar prosthesis 96. For this prosthesis, tibial plateau 12 carries hinge post 106. For this purpose, the tibial plateau includes slot 120 having side walls 122. The hinge post is mounted to the tibial plateau by snapping flanges 110 under beads 108 formed in side walls 122.

Hinge post 106 includes hole 112 for receiving two flanged bearings 114, one from each side. The joint is assembled by slipping femoral component 10 over the hinge post to bring bearing surfaces 130 on the femoral component into contact with bearing surfaces 132 on the tibial plateau. Hinge pin 116 is then slid through holes 126 in the femoral component and through bearings 114 to assemble the hinge. Hinge pin 116 is held in place by means of snap rings 118 which are received in grooves 128.

As in the joint of Figures 1-11, the joint of Figures 14-20 has large bearing surfaces which extend across essentially the full width of the joint. Specifically, the bearing surfaces associated with the femoral side of the joint comprise surfaces 130 and lower outer surface 134 of hinge pin 116. The corresponding concentric bearings surfaces on the tibial side comprise surfaces 132 and the lower inner surface of bearings 114.

Hinge pin 116 and femoral component 10 are made from materials having similar wear characteristics, e.g., from titanium or cobalt chromium alloys. Similarly, bearings 114 and tibial plateau 12 are made from materials having similar wear characteristics, e.g., from ultra-high molecular weight polyethylene. In this way, the concentricity of the bearing surfaces is maintained as those surfaces wear since the wearable components, i.e., the plastic components, are all associated with one side of the joint, e.g., the tibial side, and are all surfaces of revolution about the same center line, while the non-wearable components, i.e., the metal components, are all associated with the other side of the joint, e.g., the femoral side, and are surfaces of revolution about the same center line as the plastic components. Accordingly, as the plastic components wear, the common center line will simply shift, e.g., move towards the tibia, with each of the metal and plastic bearing surfaces remaining concentric to its mating surface.

Bearing surfaces 130 are located further from the midplane of the joint than bearing surfaces typically used in hinged joints. This change allows rails 88 to be moved far enough apart to provide a stable engagement with the patellar prosthesis. During articulation of the joint, rails 88 ride inside of walls 122 and are received in slot 120. The outside surface of the femoral component 10 includes recesses 142 which engage protuberances 144 at the limit of the extension of the joint.

Femoral component 10, tibial component 13 and patellar components 80 and 96 can be made out of a variety of biologically compatible, surgically implantable materials. For example, a cobalt-chromium-molybdenum alloy, such as that described in ASTM F75, can be used for femoral component 10, a titanium-aluminum-vanadium alloy, such as that described in ASTM F136 can be used for tibial sleeve 14, and ultra-high molecular weight polyethylene (UHMWPE) can be used for tibial plateau component 12, bearings 114 and the patellar prostheses Similarly, hinge post 106, hinge

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pin 116, and snap rings 118 can be made of cobalt-chrome or titanium alloys. Other types and combinations of materials appropriate for use in the artificial joint of the present invention will be evident to persons skilled in the art.

Although specific embodiments of the invention have been described and illustrated, it is to be understood that modifications can be made without departing from the invention's scope as defined by the claims. For example, bearing surfaces have configurations other than those shown herein can be used in the practice of the invention. Similarly, a variety of cam means other than those described can be used to permit rolling of the bearing surfaces relative to each other during the minor segment of the joint's motion.

#### Claims

 An artificial joint which can be moved between an extended position and a flexed position, said joint comprising:

a first component (10) which includes a convex bearing surface (20) and a first cam means (43), the convex bearing surface (20) having a first portion (20b) and a second portion (20c), the curvature of the first portion (20b) being less than the curvature of the second portion (20c):

a second component (13) which includes a concave bearing surface (34) and a second cam means (41);

characterised in that:

the concave bearing surface (34) of the second component (13) has a first portion (34b) and a second portion (34c), the curvature of the first portion (34b) being less than the curvature of the second portion (34c); and

the motion of the joint is composed of a minor segment which extends between the extended position and an intermediate position and a major segment which extends between the intermediate position and the flexed position wherein:

the first portion (20b) of the convex bearing surface (20) of the first component (10) is in engagement with the first portion (34b) of the concave bearing surface (34) of the second component (13) during the minor segment of the joint's motion;

the second portion (20c) of the convex bearing surface (20) of the first component (10) is in engagement with the second portion (34c) of the concave bearing surface (34) of the second component (34) during the major segment of the joint's motion, the joint moving about a fixed axis of flexion when said second portions (20c,34c) are in engagement, said

flexion occurring through sliding without rolling of said second portions (20c,34c) relative to one another; and

the first (43) and second (41) cam means interact with one another during the minor segment of the joint's motion, said interaction permitting the convex (20) and concave (34) bearing surfaces to roll relative to one another without substantial sliding as the joint moves between its extended and intermediate positions so that the line of contact between the bearing surfaces (20,34) moves towards the second portions (20c,34c) of the bearing surfaces.

2. The artificial joint of Claim 1 wherein:

the first component (10) comprises the femoral component of an artificial knee joint and the second component (13) comprises the tibial component of an artificial knee joint;

the first portion (20b) of the convex bearing surface (20) of the first component (10) is located anteriorly and the second portion (20c) of that bearing surface is located posteriorly when the first component (10) is implanted in the femur; and

the first portion (34b) of the concave bearing surface (34) of the second component (13) is located anteriorly and the second portion (34c) of that bearing surface is located posteriorly when the second component (13) is implanted in the tibia.

- 3. The artificial joint of Claim 1 or 2 wherein the area of contact between the convex (20) and concave (34) bearing surfaces is greater when the second portions (20c,34c) are in engagement than when the first portions (20b,34b) are in engagement.
- 4. The artificial joint of Claim 1, 2, or 3 wherein the second portions (20c,34c) of the convex (20) and concave (34) bearing surfaces are in congruent engagement during said major segment of the joint's motion.
- The artificial joint of Claim 1, 2, 3, or 4 wherein the minor segment of the joint's motion is less than about thirty degrees.
- The artificial joint of Claim 1, 2, 3, 4, or 5 wherein:

the convex bearing surface (20) of the first component (10) has a third portion (20d);

the concave bearing surface (34) of the second component (13) has a third portion (34d); and

the third portions (20d,34d) are in con-

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gruent engagement during said major segment of the joint's motion.

7. The artificial joint of Claim 6 wherein:

the second (20c) and third (20d) portions of the convex bearing surface (20) of the first component (10) form a stepped surface; and

the second (34c) and third (34d) portions of the concave bearing surface (34) of the second component (13) form a stepped surface.

8. The artificial joint of Claim 6 or 7 wherein:

the combination of the second (20c) and third (20d) portions of the convex bearing surface (20) of the first component (10) extends across essentially the full width of the artificial joint; and

the combination of the second (34c) and third (34d) portions of the concave bearing surface (34) of the second component (13) extends across essentially the full width of the artificial joint.

- 9. The artificial joint of Claim 1, 2, 3, 4, 5, 6, 7, or 8 wherein the first component (10) comprises the femoral component of an artificial knee joint and wherein said first component (10) includes a body having an outer surface a portion of which is located distally when the first component (10) is implanted in the femur, said portion including a track (84) for engagement with a patellar prosthesis (80,96), said track (84) being composed of two rails (88), the cross-sectional contour of the surface of each of the rails (88) in a direction transverse to the longitudinal axis of the rail (88) being a) either a straight line or a concave curve, and b) constant along the length of the rail (88).
- 10. The artificial joint of Claim 1, 2, 3, 4, 5, 6, 7, or 8 wherein the first component (10) comprises the femoral component of an artificial knee joint and wherein said first component (10) includes a body having an outer surface, said outer surface having first and second portions, the first portion being located anteriorly and the second portion being located distally when the first component (10) is implanted in the femur, the first portion of the outer surface including a recess (82) for engagement with a patellar prosthesis (80,96), the second portion of the outer surface including a track (84) for engagement with said patellar prosthesis (80,96), the track (84) being composed of two rails (88), the cross-sectional contour of the surface of each of the rails (88) in a direction transverse to the longitudinal axis of the rail

(88) being either a straight line or a concave curve, said cross-sectional contour being constant along the length of the rail (88), said rails (88) extending from said recess (82) and the cross-sectional contour of the surface of each of said rails (88) being the cross-sectional contour of the surface of the recess (82) at the intersection between the rail (88) and the recess (82) so that the surface of each rail (88) flows continuously into the surface of the recess (82).

- 11. The artificial joint of Claim 9 or 10 wherein the cross-sectional contour of each of the rails (88) is concave and is a portion of a circle.
- 12. The artificial joint of Claim 9, 10, or 11 further including a patellar prosthesis (80) wherein the patellar prosthesis (80) makes contact with each of the rails (88) along a line (138) which is transverse to the longitudinal axis of the rail (88).
- 13. The artificial joint of Claim 11 further including a patellar prosthesis (96) wherein the patellar prosthesis (96) has a saddle-shaped surface and wherein, for at least a portion of the track (84), the patellar prosthesis (96) makes contact with each of the rails (88) over an area (140) extending along the length of the rail (88).

### Patentansprüche

 Künstliches Gelenk, das zwischen einer ausgestreckten Stellung und einer abgebogenen Stellung bewegbar ist, wobei das Gelenk folgende Merkmale umfasst:

eine erste Komponente (10), die eine konvexe Lagerfläche (20) und ein erstes Schultermittel (43) aufweist, wobei die konvexe Lagerfläche (20) einen ersten Abschnitt (20b) und einen zweiten Abschnitt (20c) aufweist, wobei die Krümmung des ersten Abschnitts (20b) geringer ist als die Krümmung des zweiten Abschnitts (20c);

eine zweite Komponente (13) mit einer konkaven Lagerfläche (34) und einem zweiten Schultermittel (41),

#### dadurch gekennzeichnet,

daß die konkave Lagerfläche (34) der zweiten Komponente (13) einen ersten Abschnitt (34b) und einen zweiten Abschnitt (34c) aufweist, wobei die Krümmung des ersten Abschnitts (34b) geringer ist als die Krümmung des zweiten Abschnitts (34c); und

daß die Bewegung des Gelenks aus einem kleineren Schritt, zwischen der ausgestreckten Stellung und einer Zwischenstellung, und aus

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einem größeren Schritt, zwischen der Zwischenstellung und der abgebogenen Stellung, zusammengesetzt ist, wobei:

während des kleineren Schritts der Gelenkbewegung der erste Abschnitt (20b) der konvexen Lagerfläche (20) der ersten Komponente (10) in Eingriff steht mit dem ersten Abschnitt (34b) der konkaven Lagerfläche (34) der zweiten Komponente (13);

wobei während des größeren Schritts der Gelenkbewegung der zweite Abschnitt (20c) der konvexen Lagerfläche (20) der ersten Komponente (10) mit dem zweiten Abschnitt (34c) der konkaven Lagerfläche (34) der zweiten Komponente (13) in Eingriff steht , dabei bewegt sich das Gelenk um eine festgelegte Biegungsachse, wenn die zweiten Abschnitte (20c, 34c) in Eingriff sind, wobei die Biegung durch Gleiten ohne Abrollen der zweiten Abschnitte (20c, 34c) relativ zueinander erfolgt; und

wobei das erste (43) und zweite (41) Schultermittel während des kleineren Schritts der Gelenkbewegung miteinander zusammenwirken, wobei das Zusammenwirken es gestattet, daß die konvexe (20) und konkave (34) Lagerfläche gegeneinander abrollen ohne wesentlich zu gleiten, wenn sich das Gelenk zwischen seiner ausgestreckten und seiner Zwischenstellung bewegt, so daß die Kontaktlinie zwischen den Lagerflächen (20, 34) sich auf die zweiten Abschnitte (20c, 34c) der Lagerflächen zubewegt.

 Künstliches Gelenk nach Anspruch 1, dadurch gekennzelchnet, daß die erste Komponente (10) die Oberschenkelkomponente eines künstlichen Kniegelenks und die zweite Komponente (13) die Schienbeinkomponente eines künstlichen Kniegelenks umfasst;

daß der erste Abschnitt (20b) der konvexen Lagerfläche (20) der ersten Komponente (10) vorne und der zweite Abschnitt (20c) dieser Lagerfläche hinten angeordnet ist, wenn die erste Komponente (10) in den Oberschenkelknochen implantiert ist; und

daß der erste Abschnitt (34b) der konkaven Lagerfläche (34) der zweiten Komponente (13) vorne und der zweite Abschnitt (34c) dieser Lagerfläche hinten angeordnet ist, wenn die zweite Komponente (13) in den Schienbeinknochen implantiert ist.

3. Künstliches Gelenk nach Anspruch 1 oder 2, dadurch gekennzelchnet, daß die Kontaktfläche zwischen den konvexen (20) und konkaven (34) Lagerflächen größer ist, wenn die zweiten Abschnitte (20c, 34c) in Eingriff stehen als wenn die ersten Abschnitte (20b, 34b) in Eingriff stehen.

- 4. Künstliches Gelenk nach Anspruch 1, 2 oder 3, dadurch gekennzelchnet, daß die zweiten Abschnitte (20c, 34c) der konvexen (20) und konkaven (34) Lagerflächen während des größeren Schritts der Gelenkbewegung in dekkungsgleichem Eingriff sind.
- Künstliches Gelenk nach Anspruch 1, 2, 3 oder 4, dadurch gekennzelchnet, daß der kleinere Schritt der Gelenkbewegung weniger als 30° beträgt.
- 6. Künstliches Gelenk nach Anspruch 1, 2, 3, 4 oder 5, dadurch gekennzelchnet, daß die konvexe Lagerfläche (20) der ersten Komponente (10) einen dritten Abschnitt (20d) aufweist; daß die konkave Lagerfläche (34) der zweiten Komponente (13) einen dritten Abschnitt (34d) aufweist und daß die dritten Abschnitte (20d, 34d) während des größeren Schritts der Gelenkbewegung in deckungsgleichem Eingriff sind.
- 7. Künstliches Gelenk nach Anspruch 6, dadurch gekennzeichnet, daß die zweiten (20c) und dritten (20d) Abschnitte der konvexen Lagerfläche (20) der ersten Komponente (10) eine gestufte Oberfläche bilden, und daß die zweiten (34c) und dritten (34d) Abschnitte der konkaven Lagerfläche (34) der zweiten Komponente (13) eine gestufte Oberfläche bilden.
- 8. Künstliches Gelenk nach Anspruch 6 oder 7, dadurch gekennzelchnet, daß die Kombination der zweiten (20c) und dritten (20d) Abschnitte der konvexen Lagerfläche (20) der ersten Komponente (10) sich im wesentlichen über die gesamte Ausdehnung des künstlichen Gelenks erstreckt und daß die Kombination der zweiten (34c) und dritten (34d) Abschnitte der konkaven Lagerfläche (34) der zweiten Komponente (13) sich im wesentlichen über die gesamte Ausdehnung des künstlichen Gelenks erstreckt.
- 9. Künstliches Kniegelenk nach Anspruch 1, 2, 3, 4, 5, 6, 7 oder 8, dadurch gekennzelchnet, daß die erste Komponente (10) die Oberschenkelkomponente eines künstlichen Kniegelenks umfasst und daß diese erste Komponente (10) einen Körper mit einer äußeren Oberfläche umfasst, von der ein Abschnitt distal angeordnet ist, wenn die

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erste Komponente (10) in den Oberschenkelknochen implantiert ist, wobei dieser Abschnitt einen Pfad (84) für das Zusammenwirken mit einer Kniescheibenprothese (80, 96) aufweist, wobei sich der Pfad (84) aus zwei Schienen (88) zusammensetzt, wobei die Querschnittskontur der Oberfläche jeder der Schienen (88) in einer Richtung quer zu der Längsachse der Schiene (88) a) entweder eine gerade Linie oder eine konkave Kurve und b) konstant entlang der Erstreckung der Schiene (88) ist.

Künstliches Gelenk nach Anspruch 1, 2, 3, 4,
6, 7 oder 8.

dadurch gekennzeichnet, daß die erste Komponente (10) die Oberschenkelkomponente eines künstlichen Kniegelenks umfasst und daß diese erste Komponente (10) einen Körper mit einer äußeren Oberfläche umfasst, wobei diese äußere Oberfläche erste und zweite Abschnitte aufweist, wobei der erste Abschnitt vorne und der zweite Abschnitt distal angeordnet ist, wenn die erste Komponente (10) in den Oberschenkelknochen implantiert ist, daß der erste Abschnitt der äußeren Oberfläche eine Ausnehmung (82) für das Zusammenwirken mit einer Kniescheibenprothese (80, 96) aufweist, daß der zweite Abschnitt der äußeren Oberfläche einen Pfad (84) für das Zusammenwirken mit der Kniescheibenprothese (80. 96) aufweist, daß der Pfad (84) sich aus zwei Schienen (88) zusammensetzt, daß die Querschnittskontur der Oberfläche ieder der Schienen (88) in einer quer zu der Längsachse der Schiene (88) verlaufenden Richtung entweder eine gerade Linie oder eine konkave Kurve ist. wobei die Querschnittskontur entlang der Erstreckung der Schiene (88) konstant ist, daß sich die Schienen (88) von der Ausnehmung (82) aus erstrecken und die Querschnittskontur der Oberfläche jeder der Schienen (88) der Querschnittskontur der Oberfläche der Ausnehmung (82) an der Überschneidung zwischen der Schiene (88) und der Ausnehmung (82) entspricht, so daß die Oberfläche jeder Schiene (88) stetig in die Oberfläche der Ausnehmung (82) übergeht.

- Künstliches Gelenk nach Anspruch 9 oder 10, dadurch gekennzelchnet, daß die Querschnittskontur jeder der Schienen (88) konkav ist und einen Kreisbogenabschnitt darstellt.
- Künstliches Gelenk nach Anspruch 9, 10 oder 11, des weiteren mit einer Kniescheibenprothese (80),

dadurch gekennzelchnet, daß die Kniescheibenprothese (80) entlang einer Linie (138), die quer zu der Längsachse der Schiene (88) verläuft, mit jeder der Schienen (88) einen Kontakt herstellt.

13. Künstliches Kniegelenk nach Anspruch 11, des weiteren mit einer Kniescheibenprothese (96), dadurch gekennzeichnet, daß die Kniescheibenprothese (96) eine sattelförmige Oberfläche aufweist und daß die Kniescheibenprothese (96) zumindest über einen Abschnitt des Pfads (84) mit jeder der Schienen (88) über einen sich entlang der Längserstreckung der Schiene (88) erstreckenden Bereich (140) einen Kontakt herstellt.

#### Revendications

1. Articulation de prothèse pouvant être déplacée entre une position déployée et une position fléchie, ladite articulation comportant :

un premier constituant (10) qui comprend une surface d'appui convexe (20) et un premier moyen à came (43), la surface d'appui convexe (20) possédant une première partie (20b) et une seconde partie (20c), la courbure de la première partie (20b) étant inférieure à la courbure de la seconde partie (20c);

un second constituant (13) comprenant une surface d'appui concave (34) et un second moyen à came (41);

caractérisée en ce que :

la surface d'appui concave (34) du second constituant (13) possède une première partie (34b) et une seconde partie (34c), la courbure de la première partie (34b) étant inférieure à la courbure de la seconde partie (34c); et

le mouvement de l'articulation est constitué d'un segment mineur s étendant entre la position déployée et une position intermédiaire et un segment majeur s'étendant entre la position intermédiaire et la position fléchie, dans laquelle:

la première partie (20b) de la surface d'appui convexe (20) du premier constituant (10) est en contact avec la première partie (34b) de la surface d'appui concave (34) du second constituant (13) durant le segment mineur du mouvement de l'articulation;

la seconde partie (20c) de la surface d'appui convexe (20) du premier constituant (10) est en contact avec la seconde partie (34c) de la surface d'appui concave (34) du second constituant (34) durant le segment majeur du déplacement de l'articulation, l'articulation se déplaçant autour d'un axe fixe de flexion lorsque lesdites secondes parties (20c, 34c) sont en contact, ladite flexion se produisant par glissement sans roulement desdites secondes

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parties (20c, 34c) l'une par rapport à l'autre ; et

les premier (43) et second (41) moyens à came interagissent entre eux durant le segment mineur du mouvement de l'articulation, ladite interaction permettant aux surfaces d'appui convexe (20) et concave (34) de rouler l'une par rapport à l'autre sans glissement sensible lorsque l'articulation se déplace entre ses positions déployée et intermédiaire de sorte que la ligne de contact entre les surfaces d'appui (20, 34) se déplace vers les secondes parties (20c, 34c) des surfaces d'appui.

Articulation de prothèse selon la revendication
dans laquelle :

le premier constituant (10) comporte le constituant fémoral d'une articulation de genou de prothèse et le second constituant (13) comporte le constituant tibial d'une articulation de genou de prothèse;

la première partie (20b) de la surface d'appui convexe (20) du premier constituant (10) est disposée antérieurement et la seconde partie (20c) de cette surface d'appui est située postérieurement lorsque le premier constituant (10) est implanté dans le fémur; et

la première partie (34b) de la surface d'appui concave (34) du second constituant (13) est située antérieurement et la seconde partie (34c) de cette surface d'appui est située postérieurement lorsque le second constituant (13) est implanté dans le tibia.

- Articulation de prothèse selon la revendication 1 ou 2, dans laquelle la surface de contact entre les surfaces d'appui convexe (20) et concave (34) est plus grande lorsque les secondes parties (20c, 34c) sont en contact que lorsque les premières parties (20b, 34b) sont en contact.
- 4. Articulation de prothèse selon la revendication 1, 2 ou 3, dans laquelle les secondes parties (20c, 34c) des surfaces d'appui convexe (20) et concave (34) sont en contact étroit durant ledit segment majeur du mouvement de l'articulation.
- Articulation de prothèse selon la revendication 1, 2, 3 ou 4, dans laquelle le segment mineur du mouvement de l'articulation est inférieur à environ 30°.
- 6. Articulation de prothèse selon la revendication 1, 2, 3, 4 ou 5, dans laquelle :

la surface d'appui convexe (20) du premier constituant (10) possède une troisième partie

(20d);

la surface d'appui concave (34) du second constituant (13) possède une troisième partie (34d); et

les troisièmes parties (20d, 34d) sont en contact étroit durant ledit segment majeur du mouvement de l'articulation.

7. Articulation de prothèse selon la revendication 6, dans laquelle :

les seconde (20c) et troisième (20d) parties de la surface d'appui convexe (20) du premier constituant (10) constituent une surface en gradins ; et

les seconde (34c) et troisième (34d) parties de la surface d'appui concave (34) du second constituant (13) constituent une surface en gradins.

Articulation de prothèse selon la revendication
ou 7, dans laquelle;

la combinaison des seconde (20c) et troisième (20d) parties de la surface d'appui convexe (20) du premier constituant (10) s'étend sensiblement sur toute la largeur de l'articulation de prothèse; et

la combinaison des seconde (34c) et troisième (34d) parties de la surface d'appui concave (34) du second constituant (13) s'étend sensiblement sur toute la largeur de l'articulation de prothèse.

- 9. Articulation de prothèse selon la revendication 1, 2, 3, 4, 5, 6, 7 ou 8, dans laquelle le premier constituant (10) comporte le constituant fémoral d'une articulation de genou de prothèse et dans laquelle ledit premier constituant (10) comprend un corps ayant une surface extérieure dont une partie est située distalement lorsque le premier constituant (10) est implanté dans le fémur, ladite partie comprenant une piste (84) pour un contact avec une prothèse de rotule (80, 96), ladite piste (84) étant constituée de deux rails (88), le contour en coupe de la surface de chacun des rails (88) dans une direction transversale à l'axe longitudinal du rail (88) étant a) soit une droite rectiligne, soit une courbe concave, et b) constante le long du rail (8).
- 10. Articulation de prothèse selon la revendication 1, 2, 3, 4, 5, 6, 7 ou 8, dans laquelle le premier constituant (10) comporte le constituant fémoral d'une articulation de genou de prothèse et dans laquelle ledit premier constituant (10) comprend un corps ayant une surface extérieure, ladite surface extérieure ayant des première et seconde parties, la première partie

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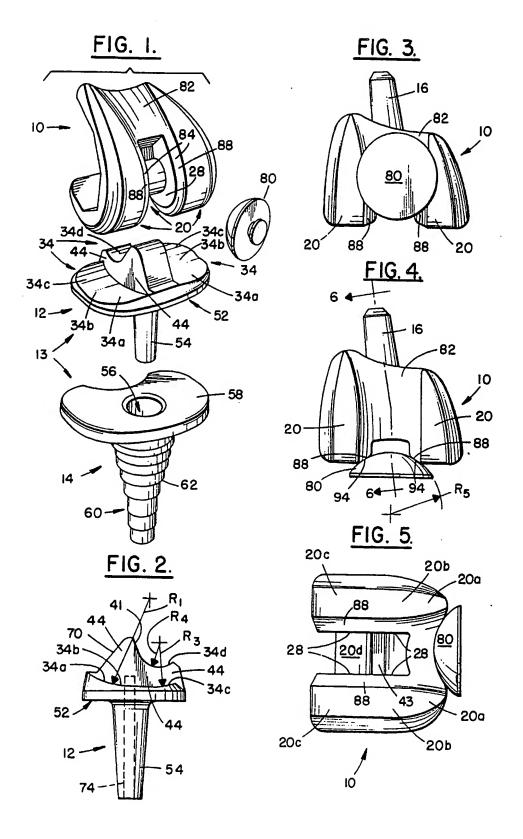
étant située antérieurement et la seconde partie étant située distalement lorsque le premier constituant (10) est implanté dans le fémur, la première partie de la surface extérieure comprenant un évidement (82) pour un engagement avec une prothèse de rotule (80, 96), la seconde partie de la surface extérieure comprenant une piste (84) pour un engagement avec ladite prothèse de rotule (80, 96), la piste (84) étant composée de deux rails (88), le contour en coupe de la surface de chacun des rails (88) dans une direction transversale à l'axe longitudinal du rail (88) étant, soit une droite rectiligne, soit une courbe concave, ledit contour en coupe étant constant le long du rail (88), lesdits rails (88) s'étendant depuis ledit évidement (82) et le contour en coupe de la surface de chacun desdits rails (88) étant le contour en coupe de la surface de l'évidement (82) à l'intersection entre le rail (88) et l'évidement (82) de sorte que la surface de chaque rail (88) s'étend continûment dans la surface de l'évidement (82).

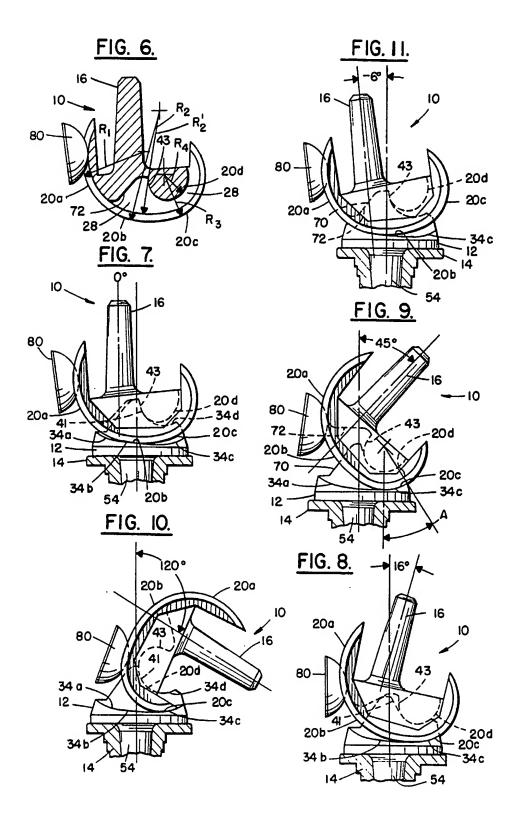
- Articulation de prothèse selon la revendication 9 ou 10, dans laquelle le contour en coupe de chacun des rails (88) est concave et est une partie d'un cercle.
- 12. Articulation de prothèse selon la revendication 9, 10 ou 11, comprenant en outre une prothèse de rotule (80) dans laquelle la prothèse de rotule (80) fait contact avec chacun des rails (88) le long d'une ligne (138) transversale à l'axe longitudinal du rail.
- 13. Articulation de prothèse selon la revendication 11, comprenant en outre une prothèse de rotule (96), dans laquelle la prothèse de rotule (96) possède une surface en forme de selle et dans laquelle, pour au moins une partie de la piste (84), la prothèse de rotule (96) fait contact avec chacun des rails (88) sur une surface (140) s'étendant sur la longueur du rail (88).

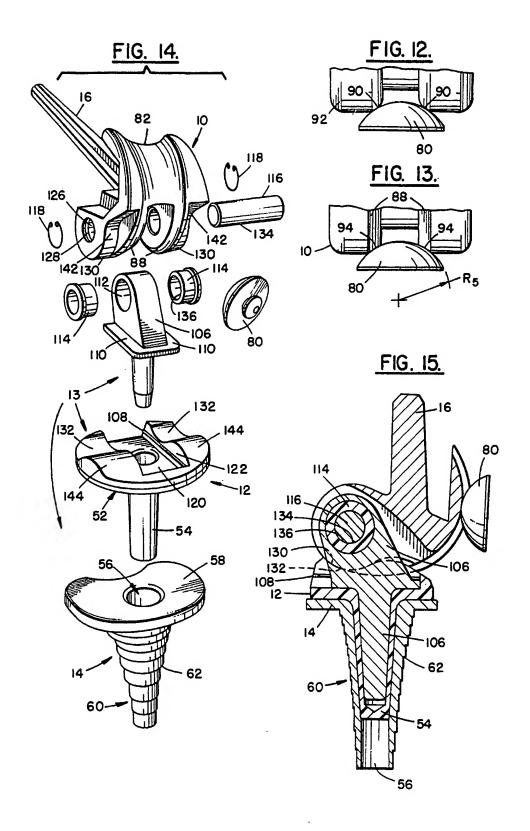
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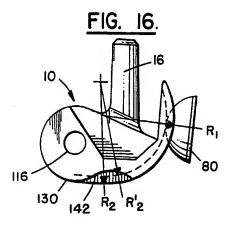


FIG. 17.

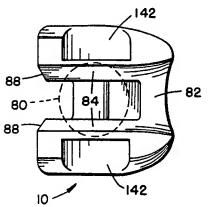


FIG. 18.

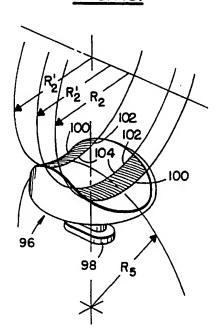


FIG. 19.

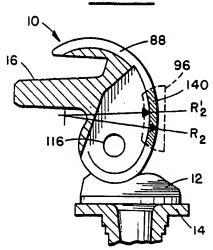
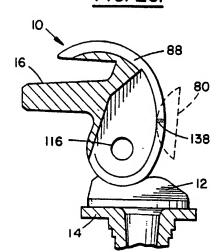


FIG. 20.



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